# CORVINUS UNIVERSITY OF BUDAPEST DOCTORAL SCHOOL OF BUSINESS AND MANAGEMENT RULES OF OPERATION

Adopted by the Council of the Doctoral School (06.09.2022) Approved by the University Doctoral Council (12.10.2022)

Budapest 2022

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#### **Doctoral School of Business and Management of the Corvinus University of Budapest**

#### **RULES OF OPERATION**

Based on the Doctoral (PhD) Regulations adopted under Resolution No. 40/2020 (01.10) of the Board of Trustees maintaining the institution, supported by the Doctoral Council (22. 08. 2022) and the Senate (07. 09. 2022) of the Corvinus University of Budapest (CUB), the Council of the Doctoral School of Business and Management (DSBM) defined its Rules of Operation (RO) on 7 September 2022 as follows:

# 1 ESTABLISHMENT, TASKS AND OPERATION OF THE DOCTORAL SCHOOL OF BUSINESS AND MANAGEMENT

#### 1.1 ESTABLISHMENT OF THE DSBM, ITS ORIGINS

The accreditation for the establishment of the Doctoral School of Business and Management was approved by the Hungarian Accreditation Committee on 22 February 2002 under Resolution No. 2002/2/III (in the 2000/2001 academic year, the DSBM operated under a provisional accreditation).

Founding members of the DSBM: Károly BALATON, Attila CHIKÁN, Miklós DOBÁK, Mihály GÁLIK, Sándor KEREKES, Hajna LŐRINCNÉ ISTVÁNFFY, Miklós MAROSI.

Former heads of the Doctoral School: József KINDLER (2000–2004), Attila CHIKÁN (2004–2006), Károly BALATON (2006–2011), Imre FERTŐ (2011–2014), Tamás MÉSZÁROS (2014), Gábor MICHALKÓ (2014–).

#### 1.2 TASKS OF THE DSBM

The DBSM educates future generations of scientists and offers a doctoral (PhD) degree in the discipline of social sciences, in the Business and Management branch of science. The doctoral programmes of the DSBM are primarily based on master degrees in supply chain management, marketing, international taxation, international economy and management, finance, regional and environmental economics, accounting, sports economics, tourism and management, business development, management and organisation as well as rural development engineering, but it also welcomes future PhD students with other master degrees who wish to conduct doctoral studies in the fields of business and management.

#### 1.3 REGULATORY ENVIRONMENT OF THE OPERATION OF THE DSBM

The DSBM operates in accordance with Act CCIV of 2011 on National Higher Education, Government Decree 387/2012. (19 December) on doctoral schools, doctoral degree award procedures and habilitation, Government Ddecree 87/2015. (09 April) on the implementation of certain provisions of Act CCIV of 2011 on National Higher Education, the resolutions, decisions, instructions and positions of the Hungarian Accreditation Committee (HAC) and of the National Doctoral Council (NDC), the Doctoral Regulations of CUB (UDR), the Habilitation Regulations of CUB, its Study and Examination Regulations (SER) and its Regulation on Student Fees and Benefits (RSFB). The DSBM performs its administrative, operational and management tasks under the auspices of Corvinus Doctoral Schools in accordance with the University's existing regulations. The accreditation compliance of the DSBM is guaranteed by the detailed expert examinations of the HAC typically conducted every 5 years.

#### 2 ORGANISATIONAL STRUCTURE OF THE DOCTORAL SCHOOL

#### 2.1 BASIC DATA OF THE DSBM

Name of the Doctoral School: Budapesti Corvinus Egyetem Gazdálkodástani Doktori Iskola

Abbreviated name of the Doctoral School: GDI

English name of the Doctoral School: Corvinus University of Budapest, Doctoral School of

Business and Management

Place of operation: 1093 Budapest, Fővám tér 8

Website: Doctoral School of Business And Management

# 2.2 Position of the DSBM within the organisational structure of the University, its relations

The DSBM is an organisational unit of Corvinus Doctoral Schools offering a doctoral programme under the professional supervision of the Vice-Rector for Research. In the area of education and research, the institutes primarily involved in the work of the DSBM are the university institutes engaged in business and management sciences, in particular the Institute of Sustainable Development. the Institute of Marketing and Communication Sciences, the Institute of Operations and Decision Sciences, the Institute of Finance, the Institute of Accounting and Law, the Institute of Entrepreneurship and Innovation and the Institute of Strategy and Management, but it also cooperates with the other doctoral schools and institutes of CUB (for instance with the Corvinus Institute for Advanced Studies). The DSBM is a member of the EDAMBA¹ and CESEENET² international organisations and seeks to take their recommendations and guidelines into consideration.

#### 2.3 SPECIALISATIONS OF THE DSBM

The programme offered by the Doctoral School of Business and Management is of uniform structure, but owing to the diversity of the business and management sciences, it is implemented through specialisations. The following specialisations are available at the DSBM:

- Agricultural Economics
- Sustainability Management
- Economic Ethics and Spirituality
- Marketing
- Strategic Management
- Accounting
- Organisation and Management Theory
- Operations and Supply Chain Management
- Tourism
- Business Communication
- Business Economics and Firm Theories
- Corporate Finance
- Behavioural and Decision Sciences

Any decision on the creation, merger or termination of specialisations or their transfer to another doctoral school shall be taken by the Council of the Doctoral School. In the case of termination, the Council of Doctoral School - in agreement with the relevant supervisors – shall

<sup>&</sup>lt;sup>1</sup> EDAMBA: European Doctoral Programs Association in Management & Business Administration

<sup>&</sup>lt;sup>2</sup> CESEENET: Central and South-East European PhD Network

ensure that the PhD students belonging to the given specialisation are assigned to an existing specialisation and that the programme can be completed without interruption.

#### 2.4 MEMBERS OF THE DOCTORAL SCHOOL

The members of the Doctoral School are the core members, the emeritus core members, the supervisors and the lecturers.

#### 1) Core members

A lecturer or a researcher can be a core member of the DSMB if he/she meets the criteria of core membership defined in existing legislation and satisfies the requirements specified in the UDR for core members (in particular is holder of an academic degree, is a full-time employee of CUB and has at least one graduated PhD student). A lecturer or a researcher may become a core member of the DSBM through recommendation or invitation. Efforts shall be made to ensure that the majority of the core members of the DSBM are full professors. Following a supportive voting by secret ballot, the person who satisfies the requirements regarding core membership is presented to the UDC by the Council of the DSBM, and the UDC shall take a decision on the approval of the core membership. The core member of the DSBM is expected to publish his/her research results at least once a year in a recognised and high-ranking journal of his/her discipline, or in a book published by a prestigious publishing house. Another expectation is that the core member of the DSBM should have in the past five years published 5 scholarly publications in high-ranking journals or in a book issued by a prestigious publishing house in the past 5 years, and these items should be featured in the Hungarian Scientific Publications Database (MTMT) and in the database of the National Doctoral Council (NDC) . The core member is obliged to keep updating his/her personal data sheet in the NDC database, keep his/her publication list in the MTMT database up-to-date, and add any missing items on request. The Council of the DSBM – pursuant to NDC Resolution No 229/2010 (17 December) - may grant an emeritus core member title to those retired professors who had earlier actively contributed to the achievement of the objectives of the DSBM. Professors with emeritus core member titles shall be members of the Council of the Doctoral School in an advisory capacity.

#### 2) Supervisors

A lecturer or researcher holding an academic degree and publishing his/her research results continuously in high-ranking journals and in books published by prestigious publishing houses may become a supervisor in the DSBM. The supervisor exercises his/her rights and obligations according to the provisions of the UDR. The supervisors of the DSBM are entrusted or discharged from their duties by the Head of the DSBM, based on the decision of the Council of the DSBM. There are several ways of becoming a supervisor: either an applicant to the Doctoral School may propose a supervisor in agreement with the head of the given specialisation, or at the time of or after successful admission, the Head of Specialisation may invite a lecturer or a researcher to act as a supervisor, but it is also possible to become a supervisor if a student applies for a topic announcement advertised in the NDC database that had previously been approved by the Council of DSBM. In all cases, the Council of the DSBM decides on the supervisor, on the assessment of his/her suitability on the basis of a written application from the supervisor submitted by the head of specialisation. As long as the Council of the DSBM does not approve the supervisor on the basis of the decision on admission by the UDC, the supervisor shall perform his/her tasks as a mentor-supervisor. The announcer of a doctoral topic becomes a supervisor if the student applying for the advertised topic is admitted to the doctoral programme. The fact that someone acts as a supervisor shall be recorded in the NDC database. The supervisor is obliged to keep updating his/her registration and personal

data sheet in the NDC database, keep his/her publication list in the MTMT database up-to-date, and add any missing items on request. The supervisor shall closely cooperate with the Head of Specialisation during his/her activities.

#### 3) Lecturers

A specialist with an academic degree, who continuously publishes in his/her discipline, and publishes his/her research findings in high-ranking journals and in books published by prestigious publishing houses may become a lecturer of the DSBM. The subject leaders and lecturers of the courses announced by the doctoral school are generally approved by the Council of DSBM at the time of accepting or modifying the programme plan (operational curriculum), and are entrusted by the Head of the Doctoral School. In the case of long-term illness or prolonged absence, they are entrusted by the Head of the Doctoral School in agreement with the relevant Head of Specialisation and/or the Head of Institute.

#### 2.5 COUNCIL OF THE DOCTORAL SCHOOL (CODS)

The Council of DSBM (CoDS) is a body assisting the Head of the Doctoral School that is elected by the core members of the DSBM. The 13 internal (heads od specilaisation) and the 2 external (not in employment relationship with the University) voting members of the CoDS shall be entrusted and dismissed by the UDC. The CoDS is established and run in the manner defined in the UDR. If the Programme Director or the Head of Specialisation is not a voting member of the CoDS, he/she participates in the meetings in an advisory capacity. Emeritus core members participate in the meetings of the CoDS in an advisory capacity. The representative of the PhD Student Union (1 person) participates in the meetings of the CoDS as a voting member, except for decisions on the acquisition and nostrification of doctoral degrees and on the endorsement of the review of the scientific profile of applications for habilitation.

#### The key tasks of the CoDS are as follows:

- a) to elaborate the Rules of Operation of the DSBM;
- b) to approve the announced doctoral topics and the doctoral topics of the PhD students;
- c) to approve the announcers of the doctoral topics, the supervisors, the subject leaders and lecturers;
- to evaluate the implementation of the programme of the DSBM, the quality of the programme and the work of lecturers, supervisors and PhD students involved in the programme regularly or when necessary;
- e) to define the admission criteria to the doctoral school, to take a stand in matters of admission to the organised PhD instruction and on the acceptance of applicants wishing to acquire a degree through individual preparation;
- f) to appoint the members of the doctoral admission board;
- g) to appoint the members of the thesis proposal evaluation committee; to review the suggestions of the thesis proposal evaluation committee;
- h) to make proposals regarding the composition of the comprehensive exam board;
- i) to make proposals regarding the composition of the dissertation board of referees, and for the appointment of the official referees:
- based on the previous performance of the PhD student and the results of the discussion of the thesis proposal, to decide whether the doctoral dissertation may be admitted to defence;
- k) following the successful defence of the dissertation based on the assessment and on the vote of the board of referees and the candidate's academic and research performance,

scientific profile – propose the conferral of a doctoral degree, and/or the conferral of a doctoral degree with distinction;

- l) to make a decision on the nostrification of academic degrees acquired abroad;
- m) to express an opinion in other issues related to the DSBM and on the requests submitted by PhD students;
- n) to give its opinion on applications for habilitation in the branch of science relevant to the DSBM, and to have the candidate's scientific profile assessed.

The meetings of the CoDS are convened by the Head of the DSBM as the Chairperson of the Council, he/she proposes the agenda items to be discussed and chairs the meeting. The CoDS shall meet as required, but at least twice each semester, and these meetings shall precede the upcoming meeting of the Doctoral Cabinet by at least one week. As a rule, the CoDS discusses written proposals produced by the person submitting the given agenda item and distributed to the members by the secretary of the CoDS before the meeting. The secretary of the CoDS shall draw up a memorandum of each meeting of the CoDS, and shall send it to the members of the CoDS.

The CoDS has a quorum when at least half of the voting members are present. The decision made by the CoDS is valid if half of the voting members present and participating in the vote + 1 person vote with "yes" or "no". HR matters shall be decided through voting by secret ballot, but the Council may also decide to have an open vote (except for the conferral of doctoral degrees, the acceptance of nostrifications and the launch of the habilitation procedure). In extraordinary cases it is possible to vote on-line (via e-mail and/or phone); in such cases, the Head of the DSBM may put a question through the secretary of the CoDS to the voting members of the CoDS, who may in turn take a stand in the matter in question. The on-line vote is valid when at least half of the voting members of the CoDS participate in the vote, and half of the members participating in the vote +1 person vote with "yes" or "no". In the case of on-line voting in HR issues, the CoDS's secretary is bound by confidentiality.

#### 2.6 HEAD OF THE DOCTORAL SCHOOL

The Head of the DSBM is responsible for the management and general representation of the DSBM. The Head of the DSBM is responsible for the scientific standards of the school, its compliance with accreditation requirements, the seamless delivery of the programme, and is involved in running the doctoral administration.

The Head of the DSBM shall be elected and appointed as defined in the UDR.

The Head of DSBM shall be assisted by the CoDS, and he/she shall act as the chairperson of the CoDS.

#### The Head of the DS shall

- a) manage the DS and represent it in the various university bodies;
- b) be an ex-officio member of the University Doctoral Council and the Doctoral Cabinet;
- c) make a proposal with regard to the core members and the emeritus core members of the DS:
- d) prepare, convene and chair the sessions of the CoDS;
- e) entrust subject leaders, lecturers and supervisors
- f) take a stand on the requests of PhD students submitted in individual study matters;
- g) coordinate the review processes of applications submitted by PhD students.

h) be responsible for the fulfilment at the level of the doctoral school of the tasks defined in the university regulatory documents to facilitate the effective functioning of doctoral programmes.

#### 2.7 Programme Directors of the Doctoral School

The Head of the DSBM shall be assisted by programme directors in his/her tasks related to management, evaluation and quality assurance. The Programme Directors are appointed or dismissed by the Dean of Corvinus Doctoral Schools on the recommendation of the Head of the DSBM, and the Council of DSBM shall give its opinion on the submissions related to such persons. The mandate of programme directors is for 5 years, and can be extended on multiple occasions. Programme directors are full-time lecturers, employees of CUB belonging to the research category and are holders of an academic degree.

#### 1) Tasks of the Quality Assurance Programme Director:

- a) as the deputy of the Head of the DSBM, he/she shall act as the general representative of the Doctoral School at university events, meetings, conferences and discussions, and act in issues delegated to him/her by the Head of DS,
- b) in cooperation with the Head of the DSBM, he/she shall be involved in the preparation of the meetings of the Council of Doctoral School and in implementing the decisions,
- c) in cooperation with the Head of the DSBM, he/she shall produce the drafts of the strategic documents and reports of the Doctoral School.
- d) he/she shall represent the Doctoral School in international organisations, at international scientific and professional events, meetings and conferences,
- e) he/she shall support the implementation of the quality assurance objectives of the DSBM;
- f) he/she shall facilitate the implementation of the evaluation process of supervisors and lecturers:
- g) he/she shall take part in the evaluation mechanisms ensuring PhD students' progress in research;
- h) he/she shall assist PhD students in joining research projects, encourage their participation in foreign study visits.

#### 2) Tasks of the Studies Programme Director

- a) for the efficient administration of study matters, he/she shall interact with the PhD students of the Doctoral School and with the University Doctoral Office,
- he/she shall participate in the organisation of the doctoral programme, assist in the implementation of the programme in accordance with the operational curriculum and the timetable, and in the maintenance of learning discipline,
- he/she shall make decisions on the granting of study, research, teaching credits and publication scores to PhD students, he/she shall check the fulfilment of criterion requirements.
- d) he/she shall participate in the meetings of the Council of the Doctoral School, get involved in drafting submissions related to study matters.

#### 2.8 SECRETARY OF THE COUNCIL OF DOCTORAL SCHOOL

In administrative, management and organisational tasks, the Head of the DSBM is assisted by a coordinator, who carries out the tasks of the secretary of the Council of Doctoral School, too. In agreement with the Head of the University Doctoral Office, the Head of the DSBM is involved in selecting the coordinator, appointment and dismissal being performed by the Dean of Corvinus Doctoral Schools as employer. The coordinator shall carry out his/her duties in accordance with his/her contract and job description.

#### Tasks of the coordinator

- a) liaises and coordinates with the University Doctoral Office (collects data, supplies data, delivers original minutes, delivers submissions).
- b) keeps in touch and ensures the flow of information with the PhD students of the DSBM (maintains an up-to-date e-mail list).
- c) works out the timetable of the DSBM, organises the teaching activities, interacts with the lecturers involved in the programme (before the start of each semester, he/she prepares the timetable, sends it to the PhD students and the lecturers, carries out course registrations, sends student lists to lecturers, distributes and collects subject evaluations at the end of each semester).
- d) participates in the organisation of the comprehensive examinations, the discussion of the thesis proposal and the defence of the dissertation of the PhD students of the DSBM (sends notices to the PhD students, collects registrations, sets up boards, collects examination questions, sets the dates of the examinations, prefills the minutes template, coordinates the dates of discussions and defences, produces invitations and sends them to the relevant persons, prefills the minutes template etc.).
- e) organises the admission exams of the DSBM (collects questions for the entrance examinations, schedules the dates of admission, collects and records admission results, prepares the proposed decisions).
- f) Organises the meetings of the Council of the DSBM, prepares the documents to be presented, forwards the decisions of the UDC (schedules the date of the council meeting, sends out invitations, collects proposed submissions, produces the submissions, drafts and distributes memos of the meetings, produces and forwards submissions for the meeting of the UDC
- g) keeps records of and registers applications for contributing to the costs of PhD students' participation in domestic and international meetings, takes care of the necessary authorisations.
- h) monitors the databases (eq. MTMT, NDC) on the PhD students and lecturers of the DSBM.
- i) takes care of the administration of applications for habilitation related to the topic areas of the DSBM, sends them out for professional assessment, organises the classroom and scientific lectures related to habilitation.

#### 2.9 HEAD OF SPECIALISATION OF THE DOCTORAL SCHOOL

The Head of Specialisation is responsible for the professional and scientific activities of the relevant specialisation. The Head of Specialisation is entrusted and dismissed by the Head of the DSBM, and the Council of the DSBM shall give its opinion on any decision related to him/her. The mandate of the Head of Specialisation is for 5 years, and can be extended on multiple occasions. The Head of Specialisation is a full-time lecturer of researcher of CUB who holds a full professor or a habilitated associate professor title.

#### Tasks of the Head of Specialisation:

- a) elaborates and manages the operational curriculum. Selects the subject leaders and lecturers of the specialisation courses, assists and controls their work.
- b) makes proposals with regard to supervisors and announcers of doctoral topics.
- c) interacts with PhD students and supervisors belonging to the specialisation, facilitates joint academic work.
- actively participates in compiling submissions for meetings required in the process of the doctoral programme (comprehensive examination, discussion of the thesis proposal, defence of the dissertation), and in approving them.

- e) organises research forums for the PhD students belonging to the specialisation, in cooperation with the relevant institute;
- f) gives an opinion on the applications and requests of PhD students belonging to the given specialisation, approves the evaluation of research undertaken during the semester.

If the Head of Specialisation is not a voting member of the Council of Doctoral School, he/she participates in the meetings of the Council of Doctoral School in an advisory capacity. The Head of Specialisation may be assisted by a secretary of specialisation who has an academic degree and is an employee of the University. The Secretary of Specialisation is entrusted by the Head of Specialisation. The primary responsibility of the Secretary of Specialisation is to liaise with the Head of Doctoral School, the Programme Directors, the Coordinator, and to efficiently carry out the organisational tasks relevant to the specialisation.

#### 3 ADMISSION PROCEDURE

The DSBM publishes its admission bulletin every year with the following information:

- requirements and deadlines of applying for admission to the doctoral school
- the rules specific to the admission procedure.

Students are admitted to the specialisations of the Doctoral School on the basis of the evaluation of their previous professional and scientific performance, as well as the written and oral entrance examinations. The written examination focuses on competence in the area of Business and Management Sciences, especially the skills in research methodology and in the domain of the specialisation selected by the applicant. The oral exam serves to assess the applicant's motivation, commitment in his/her field of expertise, and abilities to complete the teaching and research tasks.

Applicants to the admission examination must consult with the head of the specialisation and a potential supervisor of their choice (mentor, expert in the subject) in advance (before submitting the application file), and a written recommendation of their support must be attached to the application file.

#### The scoring system of the entrance examination can be found in Annex 1.

The lecturers involved in the compilation and correction of the questions for the written examination are entrusted by the Head of the School.

A proposal with regard to the composition of the oral admission board(s) is made by the Head of Doctoral School and approved by the CoDS.

Based on the proposal of the Council of Doctoral School, the University Doctoral Council takes the decision on admission, taking into account the provisions of the UDR.

#### 4 EDUCATIONAL TASKS OF THE DOCTORAL SCHOOL

Education in the doctoral school is conducted in the English and Hungarian languages. The doctoral programme is delivered in accordance with the provisions of the UDR.

The programme in the doctoral school consists of two phases. The first phase, the *study and research phase*, consists of 4 semesters, and ends with the comprehensive examination, and the second, *research and dissertation phase*, consists of a further 4 semesters, and ends with the acquisition of the final certificate (absolutorium).

#### 4.1 STUDY AND RESEARCH PHASE

- (1) During the *study and research phase* of four semesters, the PhD student attends classes in various subjects, performs research, publishes articles and takes the comprehensive examination.
- a) The PhD student shall acquire a minimum of 18 credits in the autumn semester. In each academic year, at least 50 credits must and not more than 70 credits may be earned
- b) During the four semesters, altogether 120 credits shall be earned before being able to register for the comprehensive examination.
- c) At the end of the 4th semester, the criterion requirement for being admitted to the comprehensive examination is the publication of a journal article (co-authored or not) in English or Hungarian of A/D category in the MTA list or Q1/Q4 in the Scimago ranking or a declaration of acceptance. Compliance with the criterion requirement is checked by the Studies Programme Director.
- d) The comprehensive examination consists of two main parts: in the first part, the PhD student's theoretical and methodological skills are tested ("theoretical part"), while in the second part, the PhD student demonstrates his/her academic progress ("dissertation part"). The theoretical part of the comprehensive examination consists of a written and an oral module. Whereas the written module involves a pre-submitted essay demonstrating the doctoral student's research methodological proficiency in his/her own research topic, the oral module implies a contribution to professional-scientific discourse with a specific focus on research methodology. In the dissertation part, the PhD student gives a presentation to demonstrate his/her knowledge of the relevant academic literature, reports on his/her research results, shares his/her research schedule for the second phase of the doctoral programme and outlines the schedule for preparing the dissertation and publishing the results.
- (2) The PhD student shall collect study, research and teaching credits each semester, and shall participate each academic year in at least one thesis proposal discussion (preferably related to his/her specialisation) or in one public dissertation defence (participation is certified by the Coordinator of the DSBM on the basis of the attendance sheet attached to the minutes). Teaching credits are certified by the Studies Programme Director on the basis of the lecturer's signature when they are not associated with a course registered in Neptun. The research credits are certified by the Studies Programme Director on the basis of the proposal of the subject leader and the opinion of the Head of Specialisation (if there is a conflict of interest, the Quality Assurance Programme Director or the Head of the Doctoral School is entitled to give an opinion and certify such credits). Publication credit points required for obtaining the degree are awarded and certified by the Studies Programme Director. **The credit values are included in Annex 2.**
- a) The PhD student acquires credits by completing subjects, attending courses, through studying individually and taking (graded) examinations. PhD students may select subjects primarily from the subjects included in the sample curriculum of the doctoral school as published in Neptun and for which credits may be earned.

b) The PhD student is free to take any subject as free elective announced in any doctoral school of CUB, but the maximum number of credits may not be exceeded.

#### Research credits:

Research credits may be acquired through independent research (e.g. individually reviewing academic literature, attending literature review seminars, performing independent research under the direction of the supervisor). Progress is monitored in the form of interim checks after each semester (written reports, assignments to be submitted, research proposals, conference presentations, working papers). The maximum value of research and publication credits per semester is included in Annex 2.

#### **Teaching credits**

- a) Regularly monitored teaching activity performed autonomously or under the direction of the lecturer within the framework of a course recorded in the Neptun system of CUB, (e.g. conducting practical sessions, performing education organisation activities and preparing and correcting the associated assessments, job shadowing and mentoring).
- b) It is possible to obtain teaching credits outside CUB, as provided for in the UDR, subject to prior approval by the Studies Programme Director of the DSBM.
- b) The PhD student may be used for teaching tasks by the institutes for up to 4 hours a week (two timeslots) averaged over the semester, for which he/she is entitled to remuneration according to the relevant regulations.
- c) in the study and research phase, a minimum of one timeslot of teaching or education organisation equivalent thereto is mandatory for each PhD student.

#### 4.2 RESEARCH FORUM

The DSBM pays special attention to ensuring that PhD students in the study and research phase (semesters 1-4) obtain the 120 credits required for the comprehensive examination as smoothly as possible, at the same time seeking to encourage PhD students to complete the paper to be submitted for the comprehensive examination. In this spirit, in the study and research phase (semesters 1-4), the DSBM demands as a criterion requirement that the results related to the topic of the dissertation in progress are presented and submitted for comment at least at 1 research forum. The detailed rules of the research forum are included in Annex 3.

#### 4.3 RESEARCH AND DISSERTATION PHASE

- (1) During the *research and dissertation* phase of four semesters, the PhD student performs research, publishes the results of the research, prepares the thesis proposal for discussion. The dissertation shall be submitted within three years of commencing the research and dissertation phase, or within four years in case a passive semester is taken. During the research and dissertation phase (in the doctoral degree acquisition procedure) the student status may be suspended for no longer than two semesters.
- (2) During the four semesters, altogether 120 credits shall be collected:
  - a) A minimum of 100 credits shall be acquired for research activities;
  - b) 20 credits are awarded for a successful thesis proposal discussion if it takes place before the end of the 8th semester (deadline for submission: 15 March or 15 October of the 8th active semester at the latest):
  - c) a maximum of 24 credits may be earned from teaching and education organisation. It is not compulsory to acquire teaching credits.
  - d) The PhD student shall acquire a minimum of 18 credits in the autumn semester.

- e) The research and dissertation phase ends with the final certificate (absolutorium) if the student has acquired 240 credits (study and research phase 120 credits + research and dissertation phase 120 credits) and he/she has fully met study obligations.
- (3) The research and dissertation phase normally ends with obtaining the final certificate (absolutorium) at the end of the eighth active semester, provided that 240 credits have been earned. Pursuant to the provisions of the UDR, the final certificate may also be acquired before completing the eighth active semester.

The rules of awarding credits are included in Annex 2.

#### 5. KEY MILESTONES OF THE DOCTORAL PROGRAMME (DOCTORAL PROCEDURE)

#### **5.1. COMPREHENSIVE EXAMINATION**

- (1) The criteria of registering for the comprehensive exam are as follows:
- a) meeting the foreign language requirements

As specified in the University Doctoral Regulations (UDR). The language proficiency requirement of the comprehensive examination is a complex state-recognized language examination of at least B2 level or another equivalent language proficiency exam. The required documents, certificates and their copies shall be attached to the registration form for the comprehensive examination (and shall constitute its annexes). The document certifying compliance with the foreign language proficiency requirement shall be presented 15 days before the date of the examination at the latest.

- b) in the study and research phases of the doctoral programme, the acquisition of at least 120 credits (except for students preparing individually for the acquisition of the doctoral degree, as their student status is established with registration for the comprehensive examination and the acceptance thereof).
- c) Only doctoral students who have at least one journal article (may be co-authored) in English or Hungarian (accepted or published) of A/D category in the MTA list or Q1/Q4 in the Scimago ranking are eligible for the comprehensive examination.

The certificate confirming the acquisition of 120 credits is issued by the University Doctoral Office, and this document shall be attached to the registration form for the comprehensive examination (and shall constitute its annex). The credits required for the issuing of the credit certificate shall be acquired and certified in the NEPTUN interface 15 days before the date of the examination at the latest.

d) recording and approving the student's publications in the MTMT database.

The General Table downloaded from the MTMT and the bibliographical data of publications recorded in the MTMT (along with an approval clause) shall be attached to the registration form for the comprehensive examination (and shall constitute an annex thereto). The publication requirements necessary for being admitted to the comprehensive examination (recording the data of the publication in the MTMT and submitting the notification of acceptance) shall be met 15 days before the date of the examination at the latest. If the publication required as a condition for admission to the comprehensive examination is not recorded in the MTMT, the declaration of acceptance must be sent to the University Doctoral Office.

(2) The comprehensive examination consists of two main parts: assessment of the PhD student's theoretical and methodological preparedness ("theoretical part") and a report by the PhD student on his/her academic progress ("dissertation part").

(3) The comprehensive examination shall be taken publicly, before a board. The examination board consists of at least five members, and at least one third of the members shall not be the employees of the institution that operates the doctoral school.

The composition of the comprehensive examination board is finalised by the Council of Doctoral School following a proposal from the Head of Doctoral School, and approved by the University Doctoral Council. The University Doctoral Office coordinates with the members of the examination board in advance, then officially informs them about the date of the exam.

- (4) The Chair of the examination board is a university professor, a habilitated associate professor, a professor emeritus, or a lecturer or researcher holding the "Doctor of the Sciences" title of the Hungarian Academy of Sciences.
- (5) Each member of the examination board shall have an academic degree. The supervisor of the PhD student may not be a member of the examination board.
- (6) The professional composition of the examination board in the DSBM is as follows:

The chair, a representative of qualitative methodology, a representative of quantitative methodology, a representative of the field of specialisation, a recognised expert in the field of Business and Management sciences, a secretary.

In order to ensure that the ratio of external members stipulated in the government decree regulating the comprehensive examination is met, the Chair shall be external, the representative of qualitative methodology shall be internal, the representative of the quantitative methodology shall be internal, the representative of the field of specialisation shall be internal, the recognised expert in the field of Business and Management shall be external, the secretary n charge of drawing up the minutes shall be a non-voting internal member with at least an absolutorium obtained in a doctoral programme of CUB.

(7) Although the supervisor is not a member of the examination board, he/she shall evaluate the PhD student's work in advance in writing, and his/her presence is desirable during the comprehensive examination of his/her own PhD student.

The supervisor's preliminary written assessment shall be attached to the registration form for the comprehensive examination (constituting an annex thereto). On the one hand, the assessment describes the work completed so far by the PhD student, his/her most important academic results, the quality of the cooperation with the supervisor, and on the other briefly describes the strengths and weaknesses of the paper submitted for the examination. He/she declares whether the PhD student's continued participation in the doctoral programme is recommended. The length of the supervisor's assessment shall be minimum half and maximum one page of A/4 size. The student shall be in charge of collecting and submitting the supervisor's evaluation to the University Doctoral Office until May 31.

(8) In the theoretical part of the comprehensive examination, the candidate is required to convincingly demonstrate his/her knowledge of the methodological background of the proposed thesis on the one hand, and his/her proficiency in the context of the concerned branch of science on the other. In the fourth semester of the programme, the candidate shall compile the research proposal (research design) of the dissertation in progress, in the form of a paper to be submitted, in which he/she explains the personal reasons for selecting the topic, its timeliness, its social, economic and environmental context, the research objectives and the research questions. In addition, he/she also discloses his/her basic ideas on research methodology, justifies the selected methodology, supported by academic literature, seeks to present the full array of methodological tools he/she wishes to use later (qualitative, quantitative or mixed methods, data recording and data analysis tools etc.), and prepares a critical literature review of his/her research topic. The paper of 20-30 pages to be submitted shall be delivered to the

University Doctoral Office in 1 printed copy and in electronic form until May 15 at the latest (written module). Based on the written assignment to be submitted, in the oral module of the theoretical part of the examination, the examination board formulates questions, and encourages the candidate to engage in a debate and professional consultation, during which the wide-ranging expertise of the candidate in methodological and scientific areas can be tested.

The paper to be submitted is a document that serves to lay the foundations of the thesis proposal document. The length of the body text shall be a minimum of 20 pages (+ title page, table of contents, bibliography, annexes) of A/4 size, with 2.5 cm margins, using single spacing, font size 12 TNR, paragraphs separated by indentation, printed on one side of the page, with page numbers in the bottom right corner. Within this document, the part describing the research methodology shall take up at least 10 pages. The document should be well-suited to serve as a basis for substantial discussions on the methodological compliance of the proposed dissertation in the framework of the comprehensive examination. During the discussion, the student should demonstrate convincing knowledge of the skills acquired during the qualitative and/or quantitative methodology courses and to be used in the dissertation in progress, as well as should be able to adapt that knowledge to the field of specialisation.

This document may also be prepared in such a way that an article written by the candidate alone or as a co-author (in the latter case, he/she shall be the first author or have a corresponding author status) in a journal featured in the list of the Hungarian Academy of Sciences as an A-D category journal (equivalent to Q1-Q4 ranked journals in the Scimago list), already published or with a notification of acceptance, is incorporated into the paper to be submitted. The article is incorporated into the paper to be submitted in a such a way that, as it were, it supports the compulsory content elements (justification for the selection of the topic, presentation of the timeliness, of the social, economic and environmental context of the topic, formulation of the research objectives and the research questions, clarification of the theoretical framework, description of the methodology in at least seven pages), and is suitable for initiating a methodology-oriented professional dialogue about the paper within the framework of the comprehensive examination.

The applicant shall personally deliver the paper to be submitted to the methodological representatives of the comprehensive examination (in pdf and word formats, attached to an e-mail), who in turn shall give a preliminary opinion on the document by 30 April. The candidate, after having made the corrections, shall submit the document in its final form to the University Doctoral Office until May 15, in both printed and electronic forms.

Upon registration for the comprehensive examination, the candidate shall indicate on the registration form whether he/she wishes to use mainly qualitative, quantitative methodology or mixed methods combining the two in the document to be submitted.

(9) In the "dissertation part" of the comprehensive examination, the examinee gives account of his/her research activities performed and the results achieved up to that point in the form of a 15-20-minute presentation. He/she shall also outline the research schedule for the second phase of the doctoral programme as well as the schedule for preparing the dissertation and for publishing the results.

The board asks questions about the contents of the presentation. In this phase, the supervisor is allowed to assess the candidate's work completed so far in a length not exceeding 5 minutes, and to state whether he/she has found the candidate suitable to successfully complete the doctoral programme, and whether he/she judges the schedule and the publication plan to be realistic.

(10) The DS stages comprehensive examinations once a year, at the end of the spring semester. Derogations shall be possible only in the exceptionally justified cases specified in the UDR, at the discretion of the CoDS. The comprehensive examination shall be organised in such a way that its theoretical and dissertation parts are both conducted in the presence of the widest possible professional audience, publicity however should not interfere with the examinee's successful performance. Depending on the number of candidates registering for the comprehensive examination, the theoretical and dissertation parts may be conducted on the same day or on different days, immediately one after the other, or within one day by inserting a longer break. Regardless of how the examination is arranged, all members of the examining board shall be continuously present during both parts of the examination.

Each year, the comprehensive examination typically takes place in June. The examination of each doctoral student is held on the same day, in two successive stages. The first stage is the dissertation part during which the examinee delivers his/her presentation in the form of an extemporaneous scientific presentation, and the debate takes place as a discussion, and in the second stage, the theoretical part takes place, which shall be conducted formally before the examining board (questions shall be answered). A short break of not more than 1 hour may be planned between the two stages. The performance of the examinees shall be evaluated after each stage in the absence of members of the public.

(11) The examining board shall evaluate the theoretical and the dissertation parts of the examination separately and shall draw up detailed minutes of the comprehensive examination signed by the members and containing a written assessment of the work of the doctoral student from a scientific point of view. The result of the examination shall be announced on the day of the oral examination.

Following the second stage, the examining board shall withdraw to assess the examinee's performance with regard to each stage. At the end of the examination, following the assessment of the last examinee, the board announces the results.

- (12) The comprehensive examination shall be deemed successful if the majority of the members of the board considers both exam parts to be successful.
- (13) Both parts of the comprehensive examination shall be evaluated by means of a written assessment (passed, failed):
- successful, if the assessment of both parts is "passed";
- unsuccessful, if the assessment of either part of the examination is "failed".
- (14) In the case of an unsuccessful comprehensive examination, the PhD student may retake the examination on one more occasion in the given examination period.

In view of the fact that the theoretical part of the examination is based on a paper to be submitted, the preparation of which is time-consuming, the comprehensive examination may be retaken before the registration for the 5th semester under the curriculum, until August 31 at the latest. In the case of a retaken theoretical examination, the student registering for the exam shall deliver the paper to be submitted to the University Doctoral Office until August 15 at the latest. Failure to do so shall result in exclusion from the doctoral programme. If the board accepted the theoretical part of the examination, it is not necessary to resubmit the paper, but it is necessary to retake both parts of the comprehensive examination.

(15) The comprehensive examination shall be conducted in Hungarian or English. If a doctoral student registering for the exam whose mother tongue is Hungarian produces the paper to be submitted for the dissertation part in English, the examination shall still take place in Hungarian (at the specific request of the examinee, the examining board may decide to conduct either part of the examination in English). If the mother tongue of the PhD student registering for the

comprehensive examination is not Hungarian, all the components of the examination shall be conducted in English.

#### 5.2. THE THESIS PROPOSAL AND ITS ASSESSMENT

- (1) The thesis proposal of the PhD student shall serve as a preliminary documentation of his/her competence and fitness as a researcher.
- (2) The discussion of the thesis proposal may take place only after passing the comprehensive examination, recording the student's publications in the MTMT database and having them approved.
- (3) The PhD student shall declare that he/she prepared the thesis proposal on his/her own, without any unauthorised assistance, that he/she has used only the listed sources, that he/she has clearly marked and provided the source of all parts- including his own previous works which were taken verbatim from another source or were rephrased to convey the same meaning.
- (4) The thesis proposal shall be submitted in Hungarian or English. Any specialisation may stipulate that a PhD student whose mother tongue is Hungarian should submit the thesis proposal in both Hungarian and English, or only in English (this should be communicated to the PhD student at the latest at the time of enrolling to the doctoral programme). The thesis proposal shall be submitted in three printed and bound copies as well as electronically in pdf file format, to the coordinator of the DSBM. The thesis proposal may be submitted on an ongoing basis, except in July and August. The timeliness of the selected topic, its economic, social and environmental relevance should be presented in the thesis proposal; research questions and hypotheses should be formulated; the academic literature should be explored and analysed, the selected methodology should be presented and justified with bibliographic references (complex research design). The thesis proposal of 70-100 pages should be prepared with 2.5 cm margins, single line spacing, and the illustrations should be inserted in the text whenever possible.
- (5) As a rule, the discussion of the thesis proposal takes place in the Hungarian language. In the case of PhD students whose mother tongue is not Hungarian, or if a native Hungarian PhD student submits his/her thesis proposal in English, and indicates to the Head of Specialisation in advance that he/she wishes to have the discussion conducted in English, the discussion will be staged in the English language.
- (6) The thesis proposal shall be assessed by a Thesis Proposal Evaluation Committee (hereinafter TPEC) of minimum four and maximum six members appointed for this purpose, and each member shall have an academic degree. The chairperson of the TPEC may be a full a professor, a professor emeritus or a habilitated associate professor. The members, but at least one of the referees shall be an external expert (not a full-time employee of CUB). The TPEC always includes the official referees (at least 2 referees) and the supervisor of the PhD student (who may be neither the chairperson, nor a referee). The TPEC is assisted by a non-voting secretary, who is also in charge of drawing up the minutes. The secretary is a lecturer or researcher of the University, with a PhD degree or an assistant lecturer, assistant research fellow who obtained an absolutorium in a doctoral programme of the University.
- (7) The Head of Specialisation, having consulted with the supervisor, shall make a proposal with regard to the members of the Thesis Proposal Evaluation Committee (TPEC) on the dedicated form, on which he/she shall also specify the language of the discussion. The composition of the TPEC shall be decided by the Council of Doctoral School.
- (8) Within 60 days from the date on which the thesis proposal was sent to them/they received it, the referees shall produce their written opinion and deliver it to the coordinator of the DS. On the expiry of 60 days, the coordinator sends a reminder to the referee if necessary, and if the referee fails to submit his/her opinion within 90 days, the Head of Doctoral School shall

automatically appoint the reserve referee approved by the CoDS to evaluate the thesis proposal.

- (9) The thesis proposal shall be discussed in public session, in the presence of the members of the scientific community. The discussion may be held when the assessments of the appointed referees are available. With the exception of cases when suspected plagiarism is indicated, the discussion shall be held independently of the appraisals made in the referees' assessments. When the referees' opinions are available, the PhD student may withdraw his/her thesis proposal on one occasion. In this case, he/she may submit a new or a revised thesis proposal within 6 months at the earliest. The discussion may be held only in the presence of the chairperson, the secretary, at least one of the appointed referees and the supervisor. In the discussion, the TPEC should contain at least one external expert.
- (10) After the discussion, the professional standard and the completion rate of the thesis proposal shall be evaluated by the TPEC. The TPEC is responsible taking into account the debate as well for judging whether the results included in the thesis proposal and the candidate's qualities as a researcher guarantee the preparation and the timely submission of a dissertation that can be successfully defended, as well as for assisting the PhD student with advice with regard to completing the final dissertation.
- (11) The TPEC shall produce an evaluation (minutes) and shall take a stand on whether the committee:
  - a) recommends the approval of the PhD student's thesis proposal without any changes;
  - b1) recommends it for approval with the listed minor changes;
  - b2) recommends it for approval with the listed major changes;
  - c) does not recommend it for approval for the reasons listed, and suggests that a new thesis proposal be prepared.
- (12) If the TPEC does not recommend the approval of the thesis proposal, the candidate may submit a new thesis proposal within 6 months' time at the earliest. If the discussion of the newly submitted thesis proposal is not successful either, or if one of the referees does not recommend it for a repeated discussion, the CoDS may suggest that the UDC excludes the candidate from the doctoral programme.
- (13) The chairperson and the secretary of the Thesis Proposal Evaluation Committee shall certify the minutes with their signatures, and send it to the coordinator of the DS. The coordinator of the DS shall forward a copy of the original minutes and the relevant decision of the CoDS to the University Doctoral Office.
- (14) As stipulated in the University Doctoral Regulations, a thesis proposal may also be based on an article, in which case the discussion shall be conducted as specified in the University Doctoral Regulations and above.
- (15) Following an unsuccessful thesis proposal discussion, the CoDS shall decide on the earliest date on which the PhD student may submit a new/modified thesis proposal. The CoDS shall take a decision on the composition of the evaluation committee of the repeated thesis proposal.

#### 5.3. SUBMISSION OF THE DOCTORAL DISSERTATION AND APPOINTMENT OF THE BOARD OF REFEREES

(1) If the PhD student has at least one article in English published or accepted for publication in a journal included in the SCOPUS/Scimago list, or (in the case of students submitting their dissertation until 31 December 2024 at the latest) a peer-reviewed English-language [a] book, [b] book chapter or [c] publication issued or accepted for publication in a collection of papers published by an international publisher indexed in Scopus and has obtained the number of publication points required for the defence, the supervisor shall initiate the launch of the procedure of defending the dissertation in a written request addressed to the Head of the DS.

The submission of the doctoral dissertation is subject to acquiring the absolutorium (240 credits), to successfully defending the thesis proposal during the discussion and to recording the publications of the PhD student in the MTMT database and having them approved. Further conditions for submitting the doctoral dissertation shall be that the PhD student has no other doctoral degree acquisition procedure underway in the same branch of science, his/her application for entering the doctoral degree acquisition procedure was not rejected in the past two years, nor did his/her doctoral defence conclude with an unsuccessful result in the past two years. The PhD student makes a written statement on these additional conditions at the time of submitting the doctoral dissertation.

- (2) The submission of the doctoral dissertation shall be subject to meeting the foreign language requirements stipulated in the UDR.
- (3) Along with the request, it is necessary to submit according to the format requirements and in the number of copies specified in the UDR the dissertation, its theses in Hungarian and English (thesis booklet), and a brief one page summary in Hungarian and English, both in printed and electronic forms (in the case of PhD students who are not Hungarian native speakers if the dissertation was produced in English it is not necessary to submit the theses and the brief description in Hungarian). Co-authorship shall not be permitted for doctoral dissertations.
- (4) If the PhD student has selected Hungarian as the primary language of his/her dissertation, he/she shall submit the dissertation in both Hungarian and English. In this case, the contents of the Hungarian and the English versions should be completely identical (if the PhD student wishes to submit his/her dissertation in a world language other than English, he/she has to ask for the relevant permission of the CoDS through the coordinator of the DSBM within 90 days of the successful discussion of the thesis proposal). If the PhD student has selected English as the primary language of his/her dissertation, it is enough to submit it in English. It is possible to submit a dissertation only in English if the language of the thesis proposal was English.
- (5) The theses of the dissertation shall be drawn up in such detail that allows the key new scientific results of the dissertation to be clearly judged. Articles and papers written by the PhD student on the same topic and setting out the results in detail shall be attached to the dissertation.
- (6) The PhD student shall make a declaration on having prepared the dissertation on his/her own, without any unauthorised assistance, and on having used the specified sources only. He/she declares to have clearly marked all the parts including his/her own previous work and provided the sources thereof that were taken verbatim from another source or were rephrased to convey the same meaning.
- (7) Regarding the contents of the doctoral dissertation, the governing rule is that the dissertation should contain new scientific results. The dissertation shall present the current state of the given discipline through reviewing and providing a critical analysis of the relevant academic literature. The dissertation shall state how the new scientific results included in the dissertation will contribute to the development of the given discipline. The Doctoral School of Business and Management expects dissertations to contain empirical analysis as well, and the PhD student should evaluate the results against previous scientific performances.
- (8) The PhD student shall submit his/her dissertation to the University Doctoral Office in accordance with the detailed format requirements and procedures defined in the UDR. The dissertation can be submitted on an ongoing basis, except in the months of July and August.
- (9) Following consultations with the supervisor and in accordance with the provisions of the UDR, a proposal on the composition of the dissertation board of referees shall be made by the Head of Specialisation by completing the dedicated form. A decision on the composition of the board shall be taken by the Council of the Doctoral School, which shall be approved by the University Doctoral Council.

- (10) Three referees with an academic degree shall be invited to act as members of the board of referees. Two of them shall not be the employees of the University, and one of the members shall be an internationally recognised expert affiliated to a foreign institution. Within 60 days of the date on which the dissertation was sent to them the referees shall prepare and deliver their written opinions to the University Doctoral Office. On the expiry of 60 days, the University Doctoral Office shall send a reminder to the referee if necessary, and if the referee fails to submit his/her opinion within 90 days, the Head of the DS shall automatically appoint the first alternate referee approved by the CoDS to evaluate the dissertation. Holding the public defence shall be subject to receiving two favourable assessments. It is only after the receipt of the second favourable review that the University Doctoral Office requests the candidate to respond to the comments of the referees in writing, and the candidate shall have 30 days to do so.
- (11) The public defence of the dissertation takes place in Hungarian for native Hungarian speakers, and in English for PhD students whose mother tongue is not Hungarian. In the course of the public defence, the candidate puts forward the main theses of his/her dissertation in the form of an oral presentation (in the framework of a 20-minute presentation), and answers the questions of the referees, the board of referees and the participants of the public discussion. Minutes shall be drawn up of the defence, this being the responsibility of the secretary of the board. Following the defence, the secretary shall forward the minutes in their original form, with the signatures of the members of the board, and completed electronically to the University Doctoral Office, from where the whole documentation shall be transferred to the coordinator of the DSMB, who shall in turn prepare the recommendation on granting the degree for the upcoming meeting of the CoDS.
- (12) The minutes of the defence of the dissertation are included in the UDR.
- (13) Following the successful defence, the CoDS proposes the conferral of a doctoral (PhD) degree to the University Doctoral Council if the candidate has met all the requirements set out in the UDR.
- (14) As stipulated in the University Doctoral Regulations, a doctoral dissertation may also be submitted as an article-based dissertation, in which case the preparations for the defence and the defence itself shall be conducted as specified in the University Doctoral Regulations and above.

#### 6. TASKS OF THE DOCTORAL SCHOOL IN HABILITATION PROCEDURES

The course various stages of the habilitation procedure shall be laid down in the University's Habilitation Rules.

Applications for habilitation submitted to the University in the field of Business and Management Sciences are assessed by the Council of Doctoral School, primarily on the basis of their compliance with the DSBM's minimum requirements with regard to habilitation (Annex 3).

The position of the Doctoral School shall be based on the opinions of two referees, one of whom is a university professor of Corvinus, while the other is a university professor of another higher education institution, and not an employee of CUB. If the result of the assessments is not unequivocal, the application for habilitation shall be assigned to a third referee.

If the application for habilitation is assessed favourably, the Council of the Doctoral School shall make a proposal with regard to the members of the habilitation board of referees. The composition of the Board of Referees shall be approved by the UDC

#### 7. THE QUALITY MANAGEMENT SYSTEM OF THE DOCTORAL SCHOOL

The quality management system of the Doctoral School of Business and Management is in line with the provisions of the Quality Assurance Regulations of the Corvinus Doctoral Schools of the Corvinus University of Budapest, and the community of the DSBM acts in the spirit and according to the guidance of these regulations.

#### 8. CONFLICT OF INTEREST, ETHICS

- (1) The Thesis Proposal Evaluation Committee (TPEC) of the PhD student shall not include any members with whom he/she published a study in co-authorship, except for the supervisor. This fact shall be checked in advance on the basis of the MTMT database by the person setting up the committees.
- (2) Neither the comprehensive exam board of the PhD student, nor the committee evaluating his/her thesis proposal and the board evaluating his/her dissertation shall include members with whom he/she published a paper in co-authorship. This fact shall be checked in advance on the basis of the MTMT database by the person setting up the committees.
- (3) Preferably neither the comprehensive exam board of the PhD student, nor the committee evaluating his/her thesis proposal and the board evaluating his/her dissertation shall include any members who cannot be expected to assess the candidate's performance in an objective way (family relations with the candidate, regular and jointly performed services and consultancy, grant projects or any other factor, e.g. exercising employer's rights). This fact shall be considered by the person setting up the committees and by the members of the CoDS.
- (4) Persons who co-authored studies with the applicant may not be entrusted as experts of the habilitation procedure (assessors of scientific profile) and the members of the habilitation board of referees. This fact shall be checked in advance on the basis of the MTMT database by the person setting up the board.
- (5) The PhD student and the supervisor shall both avoid any consultations with the assessors of the thesis proposal and the referees of the doctoral dissertation with regard to the document that is the subject of the assessment. Consultations shall be particularly avoided in the period of assessment. In addition, the PhD student shall refrain from urging the assessment process and from facilitating the submission of the assessment within the deadline in any manner whatsoever.

#### 9. ENTRY INTO FORCE OF THE RULES

The Rules were adopted by the Council of Doctoral School of Business and Management at its meeting of 7 September 2022, and shall come into force upon the approval of the University Doctoral Council on 12 October 2022.

- (1) Any matters not regulated in these Rules shall be governed by the UDR.
- (2) In case of any conflict with the UDR, the provisions of the UDR shall prevail.
- (3) In case of any conflict with the SER and the RSFB, the provisions of the SER and the RSFB shall prevail.
- (4) On order to avoid any loss of existing research and publication credits, as a transitional arrangement, all doctoral students who have completed at least two active semesters of their doctoral studies at the time of the entry into force of these rules (12 October 2022) have the possibility to submit, along with supporting documents, a one-time request to

the Head of the Doctoral School of Business and Management, in which they put forward that the credits be recognised, and converted within the framework of the credit system coming into force. The written request in pdf format (with the annexes attached) must be submitted to the coordinator of the DSBM by 15 October 2022 at the latest. The Council of the DSBM shall provide an opinion on the request by 15 November 2022 on the basis of a proposal from the heads of the DSBM, and the Dean of CDS may approve the eligibility and the way it is granted (the procedure must be completed by 30 November 2022 at the latest).

Council of the Doctoral School of Business and Management

#### 10. ANNEXES

ANNEX 1

1. Performance that can be taken into account for the purposes of the doctoral admission procedure (DSBM)

Performance	Maximum points				
Previous pe					
certified participation in research project <sup>3</sup>	3				
participation in conferences (speaker, co-	1				
speaker, poster)	·				
publication	according to the calculation of publication				
p a second	points set out in the UDR				
Paper ranked I-III in the Students' Scientific	3				
Association competition/paper					
Paper ranked I-III in the competition of the	6				
National Conference of Students' Scientific					
Association /paper					
Plus competences in foreign languages					
(above one intermediate-level language					
certificate)	2				
+1 intermediate-level language certificate	4				
advanced-level language certificate (pieces)					
professional/leadership experience4	5				
Total (maximum)	20				
Paper to be	submitted				
research proposal <sup>5</sup>	10				
essay <sup>6</sup>	10				
Total (maximum)	20				
Written ex	amination				
mathematical logic	5				
Basics of qualitative methodology	5				
Basics of quantitative methodology	5				
Basics of the chosen specialisation	5				
Total (maximum)	20				
Oral exa	mination				
Motivational interview	40				

<sup>&</sup>lt;sup>3</sup> Participation in a research project is certified by the leader of the research project. Please attach the short description of the research project and the tasks completed by the applicant. If the applicant contributed to research reports and discussion papers, please list them (there is no need to attach the documents themselves!).

<sup>&</sup>lt;sup>4</sup> More than 3 years of experience as a senior executive: 5 points. More than 5 years of experience as a mid-level executive: 5 points. More than 5 years of experience in a research or education institution: 5 points (The points are calculated on the basis of the time of the professional experience).

<sup>&</sup>lt;sup>5</sup>The expected length of the research proposal shall be 2-3 pages. In the research proposal, please describe very briefly some of the key trends of the examined field, and its economic, social, environmental relevance and context (identification of problem). The candidate should delimit his/her specific area of interest within the given research field, formulate the key research questions he/she wishes to examine in his/her dissertation, as well as explain his/her motivation. He/she should describe his preliminary ideas about the methodology he/she wishes to use to analyse the outlined research questions.

<sup>&</sup>lt;sup>6</sup> The expected length of the essay is 5-7 pages. The subject of the essay should be closely related to the research proposal. This can be a summary of the academic literature, an analysis and evaluation of previous research, the presentation of own research findings.

Total (maximum)	40
Grand total	100

ANNEX 2

#### Credit table

#### Valid for PhD students who started their programme after 1 September 2022

Study and research phase (semesters 1-4)

Otday and rescaren phase (s	minimum	maximum			
study	48	60			
teaching	6	18			
research*	60				
total**	120				

<sup>\*</sup>The supervisor makes a proposal for 15 research credits out of 60 per semester as described in Annex 5.

# The study and research phase ends with a comprehensive examination for which no credits are granted.

Research and dissertation phase (semesters 5-8)

	minimum	maximum
teaching	0	24
research*	100	
discussion of the thesis proposal	20	
total	120	

\*A fixed number of 25 research credits per semester may be obtained; this will be proposed to the doctoral student by the supervisor as described in Annex 5. The credits shall be underpinned by actual research materials, documents, by certified involvement in scientific and professional engagement activities. The following criterion requirements are expected to be met in the research and dissertation phase in order to acquire fixed research credits: end of 6th semester = at least one MTA A/C-listed or Scimago Q1/Q3-ranked journal article in English or Hungarian (submitted to the editor for publication, accepted or already published, may be coauthored, but must be different from the article already accepted in the study and research phase).

If the successful thesis proposal discussion takes place in the research and dissertation phase, it is worth 20 credits.

<u>Teaching credits</u>: teaching minimum one timeslot or equivalent education organisation performance; altogether maximum 42 credits in the two phases.

Teaching one timeslot as a subject leader or lecturer has a value of 6 credits (based on Neptun). Active involvement in teaching one course constituting one timeslot shall be worth 2 credits (based on the certificate issued by the subject leader). Active involvement may cover invigilating exams, correcting papers, delivering parts of a course (e.g. 1-2 seminars /

<sup>\*\*</sup>Only doctoral students who have at least one journal article in English or Hungarian (accepted or published, may be co-authored) of A/D category in the MTA list or Q1/Q4 in the Scimago ranking may be admitted to the comprehensive examination.

semester), documented job shadowing and mentoring of students writing their thesis, taking part in the competition organised by the Students' Scientific Association (TDK). In the study and research phase (semesters 1-4), teaching minimum 1 timeslot or performing education organisation activity equivalent thereto is mandatory (worth 6 credits). For the research and dissertation phase (semesters 5-8), no minimum teaching activity is defined; a maximum of 24 teaching credits (or equivalent education organisation) may be recognised. If the PhD student participates in teaching as the leader of a thesis seminar, then on the basis of the information recorded in Neptun, 6 credits may be recognised for 10 undergraduate students or 5 graduate students (if there are fewer students, the number of credits shall be proportionally reduced).

#### **Research credits**: a fixed amount of 160 credits in the two phases.

At least 60 research credits shall be acquired in the study and research phase. The supervisor shall make a proposal for 15 fixed research credits out of 60 per semester. Research credits must be underpinned by actual research materials, work plans, discussion papers, conference abstracts, presentations, punlications and the associated declarations of acceptance.

At least 100 research credits must be acquired in the research and dissertation phase. A fixed number of 25 credits per semester of research credits must be obtained; the relevant proposal shall be made by the supervisor. Research credits must be underpinned by actual research materials, work plans, discussion papers, conference abstracts, presentations, punlications and the associated declarations of acceptance. By the end of the 8th active semester, the doctoral student's publications recorded in the MTMT must include at least one English or Hungarian MTA A/D-listed or Scimago Q1/Q3-ranked (published) and one English or Hungarian MTA A/C-listed or Scimago Q1/Q3-ranked journal article (accepted or published) (both articles may be co-authored).

A prerequisite for the completion of research credits is that the doctoral student and the supervisor must personally agree on the research tasks to be carried out in the given semester at the beginning of each active semester (by 30 September and 28 February at the latest), and agree on the supervisor's minimum expectations from the doctoral student. The tasks must be defined in such detail that at the end of the semester (by 15 January or 15 June at the latest) their successful or unsuccessful completion may be clearly established and thus form the basis for the award of fixed credits. The agreement must be made in writing on a dedicated form (Annex 5), signed and scanned, and uploaded to the relevant Moodle interface. The evaluation, the award of credits must be completed on the same form at the end of the semester, signed and scanned, and uploaded to the Moodle interface. In the event of a dispute, the Head of Specialisation and the DSBM Studies Programme Director are primarily in charge of conciliation, and if no agreement can be reached with their assistance, the Head of the DSBM will decide on the credits. It is the joint responsibility of the doctoral student and the supervisor to ensure that at each phase of the programme, the research is of an intensity and quality that anticipates the fulfilment of the publication criterion requirements. Fixed research credits may only be awarded on a semestrial basis if the doctoral student can clearly substantiate his/her publication activity and intentions to publish with research materials and documents as specified in Annex 5.

<u>Point values of publication activity required for obtaining the degree:</u> as defined in the UDR.

#### **ANNEX 3**

## Minimum requirements with regard to habilitation

Minimum requirements with respect to higher education, professional and scientific activities required for applications for habilitation submitted to the Doctoral School of Business and Management of CUB.

(Adopted by the meeting of the Council of Doctoral School on 28 September 2015.)

Higher education activity							
Performing regular and continuous teaching activity in a higher education institution after the acquisition of the PhD degree.	teaching at least 2 courses, for at least 10 semesters						
Working on the development of teaching materials	Author or co-author of one workbook or coursebook or textbook for higher education, which is related to one of the courses ever taught by the candidate						
Participating in talent fostering and/or the education of future generations of scientists (at least one of the three)	10 thesis supervisions that ended with the successful defence of the thesis  Supervisor of 1 PhD student/doctoral candidate or a PhD student who has already obtained a degree  Consultant for a student who has participated in one competition of the National Conference of Students' Scientific Association (OTDK) or in any national or international study competition						
Publication activity (based of	on the MTMT general table)						
Total number of scholarly publications	40						
Articles in scientific journals	10						
of which in international scientific journals	4						
Number of independent citations received for scholarly publications	50						
Number of independent citations published in international specialised journals, books and conference volumes published abroad	15						
Hirsch-index (If the number of independent citations received for scholarly publications reaches 100, a Hirsch-index below 5 is also acceptable)	5						
Professional and scientifi	c engagement activities						
Membership and activity in domestic and international scientific organisation (at least one of these three)	Membership in domestic or international professional or scientific association, society, alliance (membership of at least 3 years, at least in 1 organisation)  Participation in the editorial board of international and/or domestic scientific journals (3 years on the editorial board of at least one journal)  Active involvement in the organisation and implementation of domestic and international scientific events, conferences, chairing a section (at least 2 such events)						

Function or permanent mandate held in a higher	study programme, specialisation or subject leader,
education institution	in charge of coordinating Students' Scientific
	Student Association competitions/alumni, study
	programme coordinator, head or office-holder of an
	organisational unit

ANNEX 4

#### RESEARCH FORUM

#### The key aspects and content of the research forum

The Doctoral School of Business and Management (DSBM) pays special attention to ensure that PhD students in the study and research phase (semesters 1-4) obtain the 120 credits required for the comprehensive examination as smoothly as possible, at the same time seeking to encourage PhD students to produce the paper to be submitted for the comprehensive examination.

In this spirit, in the study and research phase (semesters 1-4), the DSBM demands as a criterion requirement that the results associated with the topic of the dissertation in progress must be presented and submitted for comment at minimum 1 research forum.

The research forum organised by the PhD student's institute (or by several institutes) shall be attended by PhD students who started their doctoral programme after 1 September 2018. The research forum shall be conducted so that the candidate, the supervisor (or in his/her absence, his/her representative), the Head of Specialisation (or in his/her absence, his/her representative), the representative of the institute's professional community, and the PhD students belonging to the institute are present. It is practical to hold the research forum in the examination period following the spring semester, if possible during the so-called research week. The institutes may organise research forums at other times, too, as agreed between the director of the institute and the head of specialisation. The concerned parties shall be notified of the time and the venue of the research forum: the staff of the institute shall be informed by the secretariat of the institute, the PhD student, the supervisor and the head of specialisation shall be informed by the secretariat of the Council of DSBM.

The subject of the research forum is to formulate the research questions of the dissertation, to outline the social and economic contexts of the topic, to expose its relevance and timely nature, and to present the theoretical background based on the reviewed academic literature (to illustrate the current status of the research). At least two weeks before the date of the research forum, the PhD student shall send the manuscript of at least 3 and not more than 6 pages to the secretariat of the Council of the DSBM electronically in doc and pdf format, as previously agreed and discussed with and endorsed by the supervisor (on the dedicated form), and summarising the knowledge under review at the research forum. He/she shall present this manuscript at the research forum in the framework of a 10-minute presentation, then answers the questions of the audience and participates in the discussion. If a specialisation organises several research forums for the PhD students, the supervisor is responsible for ensuring that the same performance shall not constitute the subject of the research forum with respect to the same manuscript, and that progress is tangible on every occasion.

#### Format requirements:

2.5 cm margins
TNR font type
Simple spacing
Page numbering in the bottom right corner
Maximum 1 illustration per 3 pages (photo, diagram, table)
Bibliography (references in the text)

An attendance sheet should be completed at the research forum and transmitted to the Secretariat of the Council of the DSBM after the forum.

#### **Evaluation of research forum**

# (To be transmitted to the Secretariat of the Council of Doctoral School of Business and Management after the research forum)

To be completed by the Secretariat of the Council of the Doctoral School of Business
and Management

Name of PhD student: Name of supervisor: Name of co-supervisor: Name of specialisation:

Commencement of studies in the Doctoral School:

Date of the research forum:

Title of manuscript submitted to the research forum (in the language of the manuscript):

Brief evaluation of the performance of the PhD student: I recommend the submitted manuscript

to be accepted not be accepted (please circle the relevant part manually) Notes, comments (please add manually):

Budapest,

Signature of Head of Specialisation

Registration of PhD students for the Research Forum (to be submitted to the Secretariat of the Council of Doctoral School of Business and Management or attached to the manuscript and submitted online)

Name of PhD student:

Name of supervisor:

Name of co-supervisor:

Name of specialisation:

Planned date of the research forum:

Number of occasions of participating in a research forum (please underline, use bold) font:

1 2

Title of manuscript submitted to the research forum (in the language of the manuscript):

## Supervisor(s) statement

I am aware of the contents of the manuscript submitted by the PhD student to the research forum, and I hereby consent to its submission.

If the PhD student has participated in a research forum before, the contents of the present manuscript are significantly different from the one(s) submitted earlier.

supervisor's signature

co-supervisor's signature

Budapest,

signature of PhD student

#### ANNEX 5

## RESEARCH ACTIVITY PLANNING AND CREDIT CALCULATION FORM

Name of PhD student (NEPTUN code)								
Name of specialisation								
Name of supervisor(s)								
``	•							
2022/2023 Semester 1)								
	- 1 et 10 e 1							
Type of activity	Pre-definition of the specific task and expected result							
(to be selected from the list)	(by agreement at the beginning of the semester)							
Budapest,	20							
,								
PhD student's signature	PhD student's signature supervisor's/supervosors' signature							
Find student's signature supervisor s/supervosors signature								
Delivery at the end of the semeste	ar:							
Delivery at the end of the semeste	ā.							
Opinion of the Head of Specialisat	tion·							
opinion of the fload of openianous								
	signature of Head of							
	Specialisation							
	·							
Proposed credit at the end of the se	emester (to be circled): 0 credits (semesters 1-8); 15 credits							
(semesters 1-4); 25 credits (semester								
(00111001010 1 4), 20 0100113 (001110010	0.000							

Approved credit at the end of the semester (to be circled): 0 credits (semesters 1-8); 15 credits (semesters 1-4); 25 credits (semesters 5-8)

**Comment by Programme Director:** 

Signature of Programme Director

#### List of activity types (planning guide)

The research tasks included in the following list (or the tasks considered as their equivalent in the given specialisation) which is to be seen as a guide, are expected to be completed during the doctoral programme and constitute the minimum requirements for the given period. The itinerary below is defined as the ideal-typical model of a linear research process, and it is recommended that the following elements are included in addressing the research topic for each of the milestones. If, however, the specificity of the topic requires, deviations may be made in consultation with the supervisor and justified at the time of planning and/or evaluating the semester.

		Study and			Research and dissertation			
		research phase			phase			
Activity types/Semesters	1	2	3	4	5	6	7	8
Research credit (fixed)	15	15	15	15	25	25	25	25
Definition of research topic	1							
Collection and processing of academic	1							
literature								
Preparation of a literature review	1							
Research proposal (research design)		2						
Theoretical underpinning of the methodology		2						
Research Forum (paper to be submitted, participation in discussion)		2						
Participation in a thesis proposal discussion/dissertation debate	1.	-2						
Collection of secondary sources, database creation (optional)			3					
Preparation of test, set-up of measuring apparatus, testing			3					
Conducting test				4				
Database building, cleaning				4				
Primary analysis, evaluation				4				
Paper to be submitted for the comprehensive examination				4				
Participation in a thesis proposal discussion/dissertation debate			3.	-4				
Preparation of conference abstracts, participation as a speaker at national/international conferences		1.	-4					
Research activity underpinning the thesis proposal, structured presentation of the results					5			
Preparation of a paper, submission for publication						6		
Research activity underpinning the thesis proposal, structured presentation of the results							7	
Preparation and development of a paper to								8

be published								
Submission of thesis proposal								8
Preparation of conference abstracts, participation as a speaker at national/international conferences						5-		
Criterion requirement	M		/D (S	JR				
	_		(Q4)					
			ation					
		-	ance					
		public	cation	)				
Criterion requirement						A/C		
					٠,	Q1/Q3)		
					at le	east		
					transn	nission		
					fo	or		
					public	cation		
Criterion requirement							MTA	A/C
							(SJR (	Q1/Q3)
						decla	ration	
							c	of
							acceptance	
							or	
							public	cation